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| APPLIC | CATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTO | DRNEY DOCKET NO. |
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| | 08/447, | 496 05/2 | 3/95 HARVEY | J | 5634.121 |
| | | | EXAMINER | | |
| | 1299 PE | & SIMON NNSYLVANIA | LM02/1124 AVENUE N W | ART UNIT | PAPER NUMBER |
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Piease find below and/or attached an Office communication concerning this application or proceeding.

See Attrched.

Commissioner of Patents and Trademarks

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Office Action Summary

Application No. 08/447,496

Applicant(s)

Harvey et al.

Examiner

Aung Moe

Group Art Unit 2712

| X Responsive to communication(s) filed on Jun 9, 1997 | · |
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| ☑ This action is FINAL. | |
| ☐ Since this application is in condition for allowance except for in accordance with the practice under Ex parte Quayle, 193. | |
| A shortened statutory period for response to this action is set t is longer, from the mailing date of this communication. Failure application to become abandoned. (35 U.S.C. § 133). Extensi 37 CFR 1.136(a). | to respond within the period for response will cause the |
| Disposition of Claims | |
| X Claim(s) 2-76 | is/are pending in the application. |
| Of the above, claim(s) 21-57 and 74-76 | is/are withdrawn from consideration. |
| Claim(s) | is/are allowed. |
| | |
| Claim(s) | |
| ☐ Claims | |
| Application Papers | |
| ☐ See the attached Notice of Draftsperson's Patent Drawin | g Review, PTO-948. |
| ☐ The drawing(s) filed on is/are object | cted to by the Examiner. |
| ☐ The proposed drawing correction, filed on | |
| \square The specification is objected to by the Examiner. | |
| $\hfill\Box$ The oath or declaration is objected to by the Examiner. | |
| Priority under 35 U.S.C. § 119 | |
| Acknowledgement is made of a claim for foreign priority | under 35 U.S.C. § 119(a)-(d). |
| ☐ All ☐ Some* ☐ None of the CERTIFIED copies o | f the priority documents have been |
| ☐ received. | |
| ☐ received in Application No. (Series Code/Serial Nur | mber) |
| \square received in this national stage application from the | International Bureau (PCT Rule 17.2(a)). |
| *Certified copies not received: | |
| ☐ Acknowledgement is made of a claim for domestic priorit | ty under 35 U.S.C. § 119(e). |
| Attachment(s) | • |
| ☐ Notice of References Cited, PTO-892 | |
| ☐ Information Disclosure Statement(s), PTO-1449, Paper N | lo(s) |
| ☐ Interview Summary, PTO-413 | 40 |
| Notice of Draftsperson's Patent Drawing Review, PTO-94 ■ Notice of Informal Patent Application, PTO-152 | +0 |
| House of informal Patent Application, P10-152 | |
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| SEE OFFICE ACTION ON 1 | THE FOLLOWING PAGES |

DETAILED ACTION

1. This Office Action is responsive to the amendment(s) filed on 6/9/97.

DOUBLE PATENTING V.S. PATENTS

- 2. After reviewing the restriction requirement in US Patent 5,233,654 it is believed that the claims of the instant application are subject to a double patenting analysis against US Patent 5,233,654 and US Patent 5,335,277.
- 3. In view of further analysis and applicant's arguments, the rejection of the claims in the instant application under double patenting based on the broad analysis of *In re Schneller* as set forth in paragraphs 7-10 of the previous Office Action has been withdrawn.

DOUBLE PATENTING BETWEEN APPLICATIONS

4. Conflicts exist between claims of the following related co-pending applications which includes the present application:

Application/Control Number: 08/447,496

| # . | Ser. No. | # | Ser. No. | # | Ser. No. |
|-----|----------|----|----------|----|----------|
| 1 | 397371 | 2 | 397582 | 3 | 397636 |
| 4 | 435757 | 5 | 435758 | 6 | 437044 |
| 7 | 437045 | 8 | 437629 | 9 | 437635 |
| 10 | 437791 | 11 | 437819 | 12 | 437864 |
| 13 | 437887 | 14 | 437937 | 15 | 438011 |
| 16 | 438206 | 17 | 438216 | 18 | 438659 |
| 19 | 439668 | 20 | 439670 | 21 | 440657 |
| 22 | 440837 | 23 | 441027 | 24 | 441033 |
| 25 | 441575 | 26 | 441577 | 27 | 441701 |
| 28 | 441749 | 29 | 441821 | 30 | 441880 |
| 31 | 441942 | 32 | 441996 | 33 | 442165 |
| 34 | 442327 | 35 | 442335 | 36 | 442369 |
| 37 | 442383 | 38 | 442505 | 39 | 442507 |
| 40 | 444643 | 41 | 444756 | 42 | 444757 |
| 43 | 444758 | 44 | 444781 | 45 | 444786 |
| 46 | 444787 | 47 | 444788 | 48 | 444887 |
| 49 | 445045 | 50 | 445054 | 51 | 445290 |
| 52 | 445294 | 53 | 445296 | 54 | 445328 |
| 55 | 446123 | 56 | 446124 | 57 | 446429 |

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| 58 | 446430 | 59 | 446431 | 60 | 446432 |
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| 61 | 446494 | 62 | 446553 | 63 | 446579 |
| 64 | 447380 | 65 | 447414 | 66 | 447415 |
| 67 | 447416 | 68 | 447446 | 69 | 447447 |
| 70 | 447448 | 71 | 447449 | 72 | 447496 |
| 73 | 447502 | 74 | 447529 | 75 | 447611 |
| 76 | 447621 | 77 | 447679 | 78 | 447711 |
| 79 | 447712 | 80 | 447724 | 81 | 447726 |
| 82 | 447826 | 83 | 447908 | 84 | 447938 |
| 85 | 447974 | 86 | 447977 . | 87 | 448099 |
| 88 | 448116 | 89 | 448141 | 90 | 448143 |
| 91 | 448175 | 92 | 448251 | 93 | 448309 |
| 94 | 448326 | 95 | 448643 | 96 | 448644 |
| 97 | 448662 | 98 | 448667 | 99 | 448794 |
| 100 | 448810 | 101 | 448833 | 102 | 448915 |
| 103 | 448916 | 104 | 448917 | 105 | 448976 |
| 106 | 448977 | 107 | 448978 | 108 | 448979 |
| 109 | 449097 | 110 | 449110 | 111 | 449248 |
| 112 | 449263 | 113 | 449281 | 114 | 449291 |
| 115 | 449302 | 116 | 449351 | 117 | 449369 |
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| 118 | 449411 | 119 | 449413 | 120 | 449523 |
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| 121 | 449530 | 122 | 449531 | 123 | 449532 |
| 124 | 449652 | 125 | 449697 | 126 | 449702 |
| 127 | 449717 | 128 | 449718 | 129 | 449798 |
| 130 | 449800 | 131 | 449829 | 132 | 449867 |
| 133 | 449901 | 134 | 450680 | 135 | 451203 |
| 136 | 451377 | 137 | 451496 | 138 | 451746 |
| 139 | 452395 | 140 | 458566 | 141 | 458699 |
| 142 | 458760 | 143 | 459216 | 144 | 459217 |
| 145 | 459218 | 146 | 459506 | 147 | 459507 |
| 148 | 459521 | 149 | 459522 | 150 | 459788 |
| 151 | 460043 | 152 | 460081 | 153 | 460085 |
| 154 | 460120 | 155 | 460187 | 156 | 460240 |
| 157 | 460256 | 158 | 460274 | 159 | 460387 |
| 160 | 460394 | 161 | 460401 | 162 | 460556 |
| 163 | 460557 | 164 | 460591 | 165 | 460592 |
| 166 | 460634 | 167 | 460642 | 168 | 460668 |
| 169 | 460677 | 170 | 460711 | 171 | 460713 |
| 172 | 460743 | 173 | 460765 | 174 | 460766 |
| 175 | 460770 | 176 | 460793 | 177 | 460817 |
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| 178 | 466887 | 179 | 466888 | 180 | 466890 |
| 181 | 466894 | 182 | 467045 | 183 | 467904 |
| 184 | 468044 | 185 | 468323 | 186 | 468324 |
| 187 | 468641 | 188 | 468736 | 189 | 468994 |
| 190 | 469056 | 191 | 469059 | 192 | 469078 |
| 193 | 469103 | 194 | 469106 | 195 | 469107 |
| 196 | 469108 | 197 | 469109 | 198 | 469355 |
| 199 | 469496 | 200 | 469517 | 201 | 469612 |
| 202 | 469623 | 203 | 469624 | 204 | 469626 |
| 205 | 470051 | 206 | 470052 | 207 | 470053 |
| 208 | 470054 | 209 | 470236 | 210 | 470447 |
| 211 | 470448 | 212 | 470476 | 213 | 470570 |
| 214 | 470571 | 215 | 471024 | 216 | 471191 |
| 217 | 471238 | 218 | 471239 | 219 | 471240 |
| 220 | 472066 | 221 | 472399 | 222 | 472462 |
| 223 | 472980 | 224 | 473213 | 225 | 473224 |
| 226 | 473484 | 227 | 473927 | 228 | 473996 |
| 229 | 473997 | 230 | 473998 | 231 | 473999 |
| 232 | 474119 | 233 | 474139 | 234 | 474145 |
| 235 | 474146 | 236 | 474147 | 237 | 474496 |
| 238 | 474674 | 239 | 474963 | 240 | 474964 |
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| 244 | 477564 | 245 | 477570 | 246 | 477660 |
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| 247 | 477711 | 248 | 477712 | 249 | 477805 |
| 250 | 477955 | 251 | 478044 | 252 | 478107 |
| 253 | 478544 | 254 | 478633 | 255 | .478767 |
| 256 | 478794 | 257 | 478858 | 258 | 478864 |
| 259 | 478908 | 260 | 479042 | 261 | 479215 |
| 262 | 479216 | 263 | 479217 | 264 | 479374 |
| 265 | 479375 | 266 | 479414 | 267 | 479523 |
| 268 | 479524 | 269 | 479667 | 270 | 480059 |
| 271 | 480060 | 272 | 480383 | 273 | 480392 |
| 274 | 480740 | 275 | 481074 | 276 | 482573 |
| 277 | 482574 | 278 | 482857 | 279 | 483054 |
| 280 | 483169 | 281 | 483174 | 282 | 483269 |
| 283 | 483980 | 284 | 484275 | 285 | 484276 |
| 286 | 484858 | 287 | 484865 | 288 | 485282 |
| 289 | 485283 | 290 | 485507 | 291 | 485775 |
| 292 | 486258 | 293 | 486259 | 294 | 486265 |
| 295 | 486266 | 296 | 486297 | 297 | 487155 |
| 298 | 487397 | 299 | 487408 | 300 | 487410 |
| 301 | 487411 | 302 | 487428 | 303 | 487506 |
| 304 | 487516 | 305 | 487526 | 306 | 487536 |
| 307 | 487546 | 308 | 487556 | 309 | 487565 |
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| 310 | 487649 | 311 | 487851 | 312 | 487895 |
|-----|--------|-----|--------|-----|---------|
| 313 | 487980 | 314 | 487981 | 315 | 487982 |
| 316 | 487984 | 317 | 488032 | 318 | 488058 |
| 319 | 488378 | 320 | 488383 | 321 | .488436 |
| 322 | 488438 | 323 | 488439 | 324 | 488619 |
| 325 | 488620 | 326 | 498002 | 327 | 511491 |
| 328 | 485773 | | | | |

5. 37 CAR 1.78(b) provides that when two or more applications filed by the same applicant contain conflicting claims, elimination of such claims from all but one application may be required in the absence of good and sufficient reason for their retention during pendency in more than one application. The attached Appendix provides clear evidence that such conflicting claims exist between the 328 related co-pending applications identified above. However, an analysis of all claims in the 328 related co-pending applications would be an extreme burden on the Office requiring millions of claim comparisons.

In order to resolve the conflict between applications, applicant is required to either:

- (1) file terminal disclaimers in each of the related 328 applications terminally disclaiming each of the other 327 applications, or;
- (2) provide an affidavit attesting to the fact that all claims in the 328 applications have been reviewed by applicant and that no conflicting claims exists between the applications. Applicant should provide all relevant factual information including the specific steps taken to insure that no conflicting claims exist between the applications, or;

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(3) resolve all conflicts between claims in the above identified 328 applications by identifying how all the claims in the instant application are distinct and separate inventions from all the claims in the above identified 328 applications (note: the five examples in the attached Appendix are merely illustrative of the overall problem. Only correcting the five identified conflicts would not satisfy the requirement).

Failure to comply with the above requirement will result in abandonment of the application.

INFORMATION DISCLOSURE STATEMENTS

6. Receipt is acknowledged of applicant's Information Disclosure Statements filed on April 7, 1997. In view of the unusually large number of references cited in the instant application (approximately 2,200 originally and 645 in the subsequent IDS) and the failure of applicant to point out why such a large number of references is warranted, these references have been considered in accordance with 37 C.F.R. 1.97 and 1.98 to the best ability by the examiner with the time and resources available.

The foreign language references cited therein where there is no statement of relevance or no translation are not in compliance with 37 C.F.R. 1.98 and have not been considered.

Numerous references listed in the IDS are subsequent to applicant's latest effective filing date of 9/11/87, therefore, the relevancy of these references is unclear. Also cited are numerous references that are apparently unrelated to the subject matter of the instant invention such as: US Patent # 33,189 directed toward a beehive, GB 1565319 directed toward a chemical compound, a cover sheet with only the word "ZING", a computer printout from a library search with the words

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"LST" on it and a page of business cards including that of co-inventor James Cuddihy, among others. The relevancy of these references cannot be ascertained. Furthermore, there are several database search results listed in foreign languages (such as German) which list only the title and document information; no copy has been provided, therefore, these references have not been considered.

CLAIM REJECTIONS - 35 USC § 112

7. Claims 2-18, 58-61, 62-69, and 73 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

37 C.F.R. 1.75(d)(1) requires that:

"the terms and the phrases used in the claims must find clear support or antecedent basis in the description so that the meaning of the terms in the claims may be ascertainable by reference to the description".

The following limitations were not supported by the specification as originally filed (i.e., '81 specification):

Regarding claim 2, receiving some information content and a first control signal in said at lest one information transmission, said information content describing one of a service;

generating a benefit datum by processing subscriber data in response to said first control signal;

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delivering said information content and said benefit datum at an output device at said receiver station;

inputting a subscriber reaction to at least one of said delivered information content and benefit datum; and

generating a second control signal that controls said receiver station based on said inputted subscriber reaction.

Regarding claim 3, *storing* said subscriber datum at a computer at said receiver station, said subscriber datum being *an investment datum*.

Regarding claim 5, communicating subscriber specific data of a subscriber from a subscriber station of said subscriber to at least one remote stations, said method comprising the steps of:

storing subscriber data of said subscriber; receiving at said subscriber station at least one instruct signal which is effective to generate a control signal based on a subscriber reaction of said subscriber to one of a recommendation and an offer, each one of said recommendation and said offer containing a receiver specific benefit datum;

generating, under direction of instructions of said at least one instruct signal, at said subscriber station, said subscriber specific data;

receiving said subscriber reaction to said one of said recommendation and said offer at said subscriber station;

transferring said subscriber specific data from said subscriber station to said at least one remote station based on said step of receiving said subscriber reaction.

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Regarding claim 6, (I) one of a broadcast transmitter and a cablecast transmitter for transmitting at least one instruct signal which is to be transmitted by the remote intermediate data transmitter station and is effective at a receiver station to instruct one of a computer or processor;

- (ii) a plurality of selective transfer devices each operatively connected to said one of the broadcast transmitter and a cablecast transmitter for communicating data;
- (iii) a data receiver for receiving information from at least one origination transmitter of at least one origination transmitter station;
- (iv) a control signal detector; and (v) one of a controller and a computer that is capable of controlling at least one of said selective transfer devices; and said remote transmitter station adapted to detect the presence of at least one transmission control signal, to control the transmission of said at least one instruct signal in response to said at least one transmission control signal, said at least one transmission control signal controlling the transmission of said at least one instruct signal by the remote intermediate data transmitter station, and to deliver at said one of said broadcast transmitter and said cablecast transmitter said at least one instruct signal, said method of controlling comprising the steps of:

receiving, at said at least one origination transmitter station, said at least one instruct signal; and delivering said at least one instruct signal to said at least one origination transmitter, said at least one instruct signal being effective at said receiver station to generate a second control signal based on a subscriber reaction to one of a recommendation and an offer, each one of said recommendation and said offer containing a receiver specific benefit datum;

receiving, at said remote transmitter station, said at least one transmission control signal one or more control signal; and

transmitting said at least one transmission control signal to said one of said broadcast transmitter and the cablecast transmitter before a specific time.

Regarding claim 7, at least one instruct signal includes a first instruct signal and said at least one transmission control signal includes a first transmission control signal, said method further comprising the step of embedding said first transmission control signal in one of said first instruct signal and an information transmission containing said first instruct signal before said step of receiving said at least one instruct signal at said remote transmitter station.

Regarding claim 8, said specific time is a scheduled time of transmitting one of said at least one instruct signal and some information associated with said at least one instruct signal from the remote intermediate data transmitter station and said at least one transmission control signal is effective at said remote intermediate data transmitter station to control at least one of said plurality of selective transfer devices at different times.

Regarding claim 9, controlling at least one of a plurality of receiver stations each of which includes one of a broadcast and a cablecast receiver, at least one processor, a signal detector, said signal detector adapted to detect signals within one of a broadcast transmission and a cablecast transmission, and said at least *one processor programmed to respond to said signals*, and said method of controlling comprising the steps of:

receiving at one of a broadcast transmitter station and a cablecast transmitter station an instruct signal which is effective at said at least one of said plurality of receiver stations to generate a first control signal based on a subscriber reaction to one of a recommendation and





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an offer, each one of said recommendation and said offer contains a receiver specific benefit datum; transferring said instruct signal form said transmitter station to a transmitter;

receiving at least one second control signal at said transmitter station, said second control signal addressing said instruct signal to said processor of said at least one of said plurality of receiver station; and

transferring said at least one second control signal from said transmitter station to said transmitter, said transmitter station doing one of broadcasting and cablecasting said instruct signal and said at least one second control signals to said at least one of said plurality of receiver stations.

Regarding claim 11, one second control signal identifies two of said plurality of receiver station asynchronously and each of said two receiver station receive and respond to said instruct signal asynchronously.

Regarding claims 12-13, wherein a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising detecting a third control signal which is effective at the transmitter station to cause communication; wherein a controller controls a switch to communicate to said transmitter a selected signal, further comprising inputting to said controller the signal which is effective to control said switch.

Regarding claim 14, transmitting to a receiver station at least one datum that designates a time of transmission of said instruct signal.

Regarding claim 15, at least one second control signal further comprises downloadable code targeted to said processor of said at least one of said plurality of receiver stations, said

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downloadable code programming the manner in which said processor responds to said instruct signal.

Regarding claim 16, at least one of said plurality of receiver stations does one of detects the presence of said at least one second control signal and responds to said instruct signal on the basis of a signal location of the signal in an information transmission, said method further comprising the step of causing at least some of one of said at last one second control signal or instruct signal to be transmitted in said location.

Regarding claim 17, delivery of combined medium programming, for use with an interactive mass medium program output apparatus comprising the steps of:

outputting a mass medium program that presents one of a recommendation and an offer, each of said recommendation and said offer containing a receiver specific benefit datum, said interactive mass medium program output apparatus having an input device to receive input from a subscriber;

prompting said subscriber during said step of outputting said mass medium program for input in respect of said recommendation and said offer, said interactive mass medium program output apparatus having an output device for outputting said combined medium programming;

receiving said input form said subscriber at said input device in response to said step of prompting said subscriber, said interactive mass medium program output apparatus having a transmitter for communicating said input to a remote site;

communicating said input to said remote site, said interactive mass medium output
apparatus and said remote site comprising a network having a plurality of transmitter stations;

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doing one of generating and assembling, in said network, a message which is effective at said interactive mass medium program output apparatus to generate a control signal based on said input, said interactive mass medium program output apparatus having a receiver for receiving a signal form said remote site;

delivering specific combined medium programming at said output device on the basis of said message.

Regarding claims 19-20, each one of said recommendation and said offer is transmitted from a transmitter to said subscriber station and specific to said transmitter; and wherein each one of said recommendation and said offer is transmitted to said subscriber station in one of a broadcast transmission and a cablecast transmission and is specific to said one of said broadcast transmission and said cablecast transmission.

Regarding claim 18, processing signals at a receiver station based on one of at least one broadcast transmission and at least one cablecast transmission, the method comprising the steps of receiving a first control signals and one of video and audio in said transmissions;

generating information by processing subscriber data in response to said first control

signal;

delivering said one of video and audio at an output device at said receiver station; inputting a subscriber response to said delivered one of video and audio;

generating a second control signal based on said inputted subscriber response and said generated information; and

controlling said receiver station in accordance with said second control signal.

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Regarding claims 58-59 and 61, the step of storing the subscriber datum at a computer at the receiver station, and the subscriber datum being *a financial datum*, an *income datum* and *interest datum*.

Regarding claim 60, "the step of storing said subscriber datum at a computer at said receiver station, said subscriber datum being a taste preference datum".

Regarding claim 62, a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising

determining a specific signal source from which to communicate at least one of said instruct signal and said at least one second control signal to said transmitter.

Regarding claim 63, a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising controlling said switch to communicate at least one of said instruct signals and said at least one second control signal to said transmitter in response to a third control signal which is effective at the transmitter station to instruct communication.

Regarding claim 64, a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal from a selected signal source.

Regarding claim 65, a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further

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comprising controlling said switch to communicate to said one of said memory and said recorder at least one of said instruct signal and said at least one second control signal.

Regarding claim 66, a controller controls a switch to communicate to said transmitter a selected signal, further comprising inputting to said controller a third control signal which is effective to control said switch.

Regarding claim 67, a controller controls a switch to communicate to said transmitter a selected signal, further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal according to a transmission schedule.

Regarding claim 68, a controller controls a switch to communicate to said transmitter a selected signal, further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal from a specific one of a plurality of signal sources.

Regarding claim 69, a controller controls a switch to communicate to said transmitter a selected signal, further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal to a selected one of a plurality of transmitters.

Regarding claim 73, transmitting to a receiver station a third control signal to cause said receiver station to tune to one of a broadcast transmission and a cablecast transmission containing said instruct signal.

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8. Claims 4, 6-8, 9-16, 18 and 62-73 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In Claim 4, it is unclear how "control signal" recited in line 2 relates to "first control signal" and "second control signal" as recited in claim 2. For the purpose of examination, the examiner is broadly interpreting the "control signal" as cited in claim 2.

In Claim 6, it is unclear how "remote transmitter station" recited in line 13 relates to "a remote intermediate data transmitter station" as recited in lines 1-2.

In Claim 9, it is unclear how "said signals" recited in line 6 relates to other "signals" such that "detect signals" as recited in 4.

In Claim 12, it is unclear how "a transmitter station" recited in line 2 relates to other "broadcast/cablecast transmitter station" as previously cited.

In Claim 18, it is unclear how "said transmission" recited in line 5 relates to "at least one broadcast transmission and at least one cable cast transmission" as cited in the preamble.

CLAIM REJECTIONS - 35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant for patent.

10. Claims 2-4, 58-59, 60-61, 6-17 and 62-73 are rejected under 35 U.S.C. § 102(e) as being anticipated by Campbell et al. (US 4,536,791).

Regarding claim 2, Campbell '791 discloses a method of processing signals at a receiver station (11) based on at least one information transmission, the method comprising the steps of receiving some information content (text sources, Fig. 1) and a first control signal (i.e., the selective transmission of control signal from the plurality of HVP units 52, 53 to the subscriber station 40; also see a control sources as shown in Fig. 1) in said at lest one information transmission, said information content describing one of a service (array of programming choices and other services, such as shopping, weather, news, stock and banking, col. 1, lines 20-30, col. 5, lines 5-10);

generating a benefit datum (such as stock datum) by processing subscriber data in response to said first control signal (i.e., col. 1, line 55- col. 2, line 68, col. 5, lines 5-10);

delivering said information content and said benefit datum at an output device at said receiver station (i.e., Fig. 2, element 20, col. 5, lines 1-68);

inputting a subscriber reaction to at least one of said delivered information content and benefit datum (Figs. 10 & 15, col. 17, lines 65-68); and

generating a second control signal that controls said receiver station based on said inputted subscriber reaction (i.e., transmitting program identification signals, tier signals and

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eligibility code signals from HVP based on the inputted subscriber reaction or request; see col. 5, lines 20-35; col. 17, lines 20-68; also see Fig. 15, elements 502 and 500).

Regarding claim 3, Campbell '791 further discloses comprising the step of storing said subscriber datum at a computer (50) at said receiver station, said subscriber datum being an investment datum (stock, col. 5, lines 5-50).

Regarding claim 4, Campbell '791 discloses further comprising the step of programming said computer to respond to said control signal (col. 4, lines 64-68, col. 5, lines 1-50).

Regarding claims 58-59 and 61, Campbell '791 discloses the step of storing the subscriber datum at a computer at the receiver station, and the subscriber datum being a financial datum, an income datum and interest datum (i.e., col. 1, lines 55-68, col. 5, line 5 - col. 6, line 68; col. 7, lines 15-68).

Regarding claim 60, Campbell '791 discloses wherein the step of storing said subscriber datum at a computer at said receiver station, said subscriber datum being a taste preference datum (Col. 5, line 5 - Col. 6, lines 68, col. 17-18, lines 1-68).

Regarding claim 6, Campbell '791 discloses a method of controlling a remote intermediate data transmitter station (11) to communicate data to at least one receiver station (40), with said remote transmitter station including:

- (I) one of a broadcast transmitter and a cablecast transmitter for transmitting at least one instruct signal which is to be transmitted by the remote intermediate data transmitter station and is effective at a receiver station to instruct one of a computer or processor (104);
- (ii) a plurality of selective transfer devices (52 & 53) each operatively connected to said one of the broadcast transmitter and a cablecast transmitter (20) for communicating data;

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(iii) a data receiver (12, 100) for receiving information from at least one origination transmitter of at least one origination transmitter station;

- (iv) a control signal detector (112); and
- (v) one of a controller and a computer that is capable of controlling at least one of said selective transfer devices (12); and said remote transmitter station adapted to detect the presence of at least one transmission control signal, to control the transmission of said at least one instruct signal in response to said at least one transmission control signal, said at least one transmission control signal controlling the transmission of said at least one instruct signal by the remote intermediate data transmitter station, and to deliver at said one of said broadcast transmitter and said cablecast transmitter said at least one instruct signal (col. 5, lines 1-25), said method of controlling comprising the steps of:

receiving, at said at least one origination transmitter station, said at least one instruct signal; and delivering said at least one instruct signal to said at least one origination transmitter (12) (Fig. 4, col. 7, lines 40-55), said at least one instruct signal being effective at said receiver station (40) to generate a second control signal based on a subscriber reaction to one of a recommendation and an offer, each one of said recommendation and said offer containing a receiver specific benefit datum (Fig. 6, col. 8, lines 45-68, col. 12, lines 2-26; col. 17-18, lines 1-68);

receiving, at said remote transmitter station (i.e., 16, 20), said at least one transmission control signal one or more control signal; and

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transmitting said at lest one transmission control signal to said one of said broadcast transmitter and the cablecast transmitter before a specific time (Figs. 2 & 15, col. 18, lines 50-68, col. 19, lines 1-16).

Regarding claim 7, Campbell '791 discloses wherein said at least one instruct signal includes a first instruct signal and said at least one transmission control signal includes a first transmission control signal, said method further comprising the step of embedding said first transmission control signal in one of said first instruct signal and an information transmission containing said first instruct signal before said step of receiving said at least one instruct signal at said remote transmitter station (Fig. 2, elements 50 and 52; col. 5-6, lines 1-68).

Regarding claim 8, Campbell '791 discloses wherein said specific time is a scheduled time of transmitting one of said at least one instruct signal and some information associated with said at least one instruct signal from the remote intermediate data transmitter station and said at least one transmission control signal is effective at said remote intermediate data transmitter station to control at least one of said plurality of selective transfer devices at different times (Figs. 11 & 14, col. 15, lines 15-50, col. 19, lines 1-45).

Regarding claim 9, Campbell '791 discloses a method of controlling at least one of a plurality of receiver stations (40) each of which includes one of a broadcast and a cablecast receiver, at least one processor (104), a signal detector, said signal detector adapted to detect signals within one of a broadcast transmission and a cablecast transmission (Fig. 6, element 112; col. 7-8, lines 1-68), and said at least one processor programmed to respond to said signals (Fig. 7, col. 9, lines 60-68), and said method of controlling comprising the steps of:

receiving at one of a broadcast transmitter station and a cablecast transmitter station (11, Fig. 2) an instruct signal which is effective at said at least one of said plurality of receiver stations (40) to generate a first control signal (i.e., subscriber request) based on a subscriber reaction to one of a recommendation and an offer, each one of said recommendation and said offer contains a receiver specific benefit datum (i.e., such as stock; see Col. 17-18, lines 1-68);

transferring said instruct signal form said transmitter station to a transmitter (Fig. 2, elements 12, 16, 20);

receiving at least one second control signal (i.e., responses to subscribers requests) at said transmitter station, said second control signal addressing said instruct signal to said processor of said at least one of said plurality of receiver station (col. 10, lines 1-35; Col. 17-18, lines 1-68); and

transferring said at least one second control signal from said transmitter station to said transmitter, said transmitter station (11) doing one of broadcasting and cablecasting said instruct signal and said at least one second control signals to said at least one of said plurality of receiver stations (40)(Fig. 2, col. 4, lines 24-49).

Regarding claim 10, Campbell '791 discloses wherein said at least one said instruct signal and said second control signal is embedded in the non-visible portion of a television signal (Fig. 2B, col. 5-6, lines 1-68, col. 9, lines 1-14).

Regarding claim 11, Campbell '791 discloses wherein said at least one second control signal identifies two of said plurality of receiver station asynchronously and each of said two receiver station receive and respond to said instruct signal asynchronously (col. 12, lines 1-26).

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Regarding claims 12-13, Campbell '791 discloses wherein a switch communicates signals selectively between a transmitter station receiver and one of a memory (524) and a recorder, and said transmitter (16), said method further comprising detecting a third control signal (i.e., scramble/descrambel signal) which is effective at the transmitter station (i.e., 12, 16, 20) to cause communication; wherein a controller (12, 500) controls a switch to communicate to said transmitter a selected signal, further comprising inputting to said controller the signal which is effective to control said switch (Figs. 1-2 & 15, elements 12, 16, 50, 52-53, 500, 502, col. 7-8, lines 1-68; col. 9, line 15 - col. 10, lines 65; col. 19, lines 56-68, col. 20, lines 1-59).

Regarding claim 14, Campbell '791 discloses further comprising transmitting to a receiver station at least one datum that designates a time of transmission of said instruct signal (col. 12, lines 1-68; col. 15, lines 15-50, col. 16, lines 48-60).

Regarding claim 15, Campbell '791 discloses wherein said at least one second control signal further comprises downloadable code targeted to said processor of said at least one of said plurality of receiver stations (40), said downloadable code programming the manner in which said processor (104) responds to said instruct signal (Fig. 7, col. 9, lines 60-68, col. 10, lines 1-36, col. 11, lines 55-65, col. 12, lines 1-26).

Regarding claim 16, Campbell '791 discloses wherein said at least one of said plurality of receiver stations does one of detects the presence of said at least one second control signal and responds to said instruct signal on the basis of a signal location of the signal in an information transmission, said method further comprising the step of causing at least some of one of said at last one second control signal or instruct signal to be transmitted in said location (Figs. 6 & 11, elements 112 & 134, col. 11-12, lines 1-68, col. 16, lines 1-60).

Regarding claim 62, Campbell '791 discloses wherein a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising

determining a specific signal source from which to communicate at least one of said instruct signal and said at least one second control signal to said transmitter (see Figs. 1-5, col. 5, lines 1-68; col. 7, line 30 - col. 8, line 45; col. 17-18, lines 1-68).

Regarding claim 63, Campbell '791 discloses wherein a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising controlling said switch to communicate at least one of said instruct signals and said at least one second control signal to said transmitter in response to a third control signal which is effective at the transmitter station to instruct communication (see Figs. 1-5, col. 5, lines 1-68; col. 7, line 30 - col. 8, line 45; col. 17-18, lines 1-68).

Regarding claim 64, Campbell '791 discloses wherein a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal from a selected signal source (see Figs. 1-5, & 15-16; col. 5, lines 1-68; col. 7, line 30 - col. 8, line 45; col. 17-18, lines 1-68).

Regarding claim 65, Campbell '791 discloses wherein a switch communicates signals selectively between a transmitter station receiver and one of a memory and a recorder, and said transmitter, said method further comprising controlling said switch to communicate to said one of said memory and said recorder at least one of said instruct signal and said at least one second

control signal (see Figs. 1-5, & 15-16; col. 5, lines 1-68; col. 7, line 30 - col. 8, line 45; col. 17-18, lines 1-68).

Regarding claim 66, Campbell '791 discloses wherein a controller controls a switch to communicate to said transmitter a selected signal, further comprising inputting to said controller a third control signal which is effective to control said switch (Figs. 1-5, col. 7, line 30 - col. 8, line 45).

Regarding claim 67, Campbell '791 discloses wherein a controller controls a switch to communicate to said transmitter a selected signal, further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal according to a transmission schedule (Figs. 1-5, col. 7, line 30 - col. 8, line 45; col. 12, lines 1-68; col. 15, lines 1-68).

Regarding claim 68, Campbell '791 discloses wherein a controller controls a switch to communicate to said transmitter a selected signal, further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal from a specific one of a plurality of signal sources (Figs. 1-5, col. 7, line 30 - col. 8, line 45; col. 12, lines 1-68; col. 15, lines 1-68; col. 17, lines 1-68).

Regarding claim 69, Campbell '791 discloses wherein a controller controls a switch to communicate to said transmitter a selected signal, further comprising controlling said switch to communicate at least one of said instruct signal and said at least one second control signal to a selected one of a plurality of transmitters (Figs. 1-5, col. 7, line 30 - col. 8, line 45; col. 12, lines 1-68; col. 15, lines 1-68; col. 17, lines 1-68).

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Regarding claim 70, Campbell '791 discloses wherein transmitting to a receiver station at least one datum that designates a channel of transmission of said instruct signal (Col. 17-18, lines 1-68).

Regarding claim 71, Campbell '791 discloses wherein transmitting to a receiver station at least one datum that specifies the title of one of data and mass medium programming, said data and mass medium programming being associated with said instruct signal (Col. 17-18, lines 1-68).

Regarding claim 72, Campbell '791 discloses wherein transmitting to a receiver station at least one datum that specifies some subject matter contained in one of data and mass medium programming, said data and mass medium programming being associated with said instruct signal (Col. 12, lines 1-68; Col. 17-18, lines 1-68).

Regarding claim 73, Campbell '791 discloses wherein transmitting to a receiver station a third control signal to cause said receiver station to tune to one of a broadcast transmission and a cablecast transmission containing said instruct signal (col. 10, lines 1-68; col. 12, lines 1-68).

Regarding claim 17, Campbell '791 discloses an interactive method for delivery of combined medium programming, for use with an interactive mass medium program output apparatus (See abstract) comprising the steps of:

outputting a mass medium program that presents one of a recommendation and an offer, each of said recommendation and said offer containing a receiver specific benefit datum, said interactive mass medium program output apparatus having an input device to receive input from a subscriber (Figs. 2 & 15, col. 1, lines 55-68, col. 22, lines 55-68);

prompting said subscriber during said step of outputting said mass medium program for input in respect of said recommendation and said offer, said interactive mass medium program

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output apparatus (11) having an output device (20) for outputting said combined medium programming (col. 16, lines 48-60);

receiving said input form said subscriber at said input device in response to said step of prompting said subscriber (col. 17, lines 20-68), said interactive mass medium program output apparatus having a transmitter for communicating said input to a remote site (40)(col. 8, lines 35-45, col. 10, lines 50-64);

communicating said input to said remote site (40), said interactive mass medium output apparatus (11) and said remote site (40) comprising a network having a plurality of transmitter stations (52, 53)(Figs. 2, 6 & 15, col. 6, lines 5-30);

doing one of generating and assembling, in said network, a message which is effective at said interactive mass medium program output apparatus (11) to generate a control signal based on said input (col. 17, lines 20-50), said interactive mass medium program output apparatus having a receiver for receiving a signal form said remote site;

delivering specific combined medium programming at said output device on the basis of said message (Fig. 11, col. 13, lines 1-68).

11. Claims 5, 19 and 20 are rejected under 35 U.S.C. § 102(e) as being anticipated by Saeki et al. (US 4,455,570).

Regarding claim 5, Saeki '570 discloses a method of communicating subscriber specific data of a subscriber from a subscriber station of said subscriber to at least one remote stations (1), said method comprising the steps of:

storing subscriber data of said subscriber (Fig. 2A-2B, col. 2, lines 1-68);

receiving at said subscriber station at least one instruct signal which is effective to generate a control signal based on a subscriber reaction of said subscriber to one of a recommendation and an offer, each one of said recommendation and said offer containing a receiver specific benefit datum (Figs. 4-8, col. 7, lines 29-55);

generating, under direction of instructions of said at least one instruct signal, at said subscriber station, said subscriber specific data (col. 7, lines 1-68);

receiving said subscriber reaction to said one of said recommendation and said offer at said subscriber station (a plurality of pictures are output based on the viewer requests, col. 6, lines 5-28);

transferring said subscriber specific data from said subscriber station to said at least one remote station based on said step of receiving said subscriber reaction (col. 2, lines 5-68; col. 6, lines 5-55).

Regarding claims 19-20, Saeki '570 discloses wherein each one of said recommendation and said offer is transmitted from a transmitter to said subscriber station and specific to said transmitter; and wherein each one of said recommendation and said offer is transmitted to said subscriber station in one of a broadcast transmission and a cablecast transmission and is specific to said one of said broadcast transmission and said cablecast transmission (Figs. 4-8; col. 1, line 50 - col. 2, lines 68).

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12. Claim 18 is rejected under 35 U.S.C. § 102(b) as being anticipated by Block et al. (US 4,225,884).

Regarding claim 18, Block et al. discloses a method of processing signals at a receiver station based on one of at least one broadcast transmission and at least one cablecast transmission, the method comprising the steps of :

receiving a first control signals(ACC) and one of video (SVID) and audio (SAUID) in said transmissions (Fig. 4, col. 3, lines 25-39);

generating information by processing subscriber data in response to said first control signal(RSC)(Fig. 4, element 26);

delivering said one of video and audio at an output device at said receiver station (Fig. 4, elements 60 & 62);

inputting a subscriber response to said delivered one of video and audio (Fig. 4, elements 26 & 32);

generating a second control signal (RSC) based on said inputted subscriber response and said generated information; and

controlling said receiver station in accordance with said second control signal (Fig. 4, elements 26 & 32, col. 7, lines 25-65).

Election/Restriction

Newly submitted claims 21-27, 74, 28-31, 75, 32-57 and 76 are directed to an invention 13. that is independent or distinct from the invention originally claimed for the following reasons:

In claims 21-27 and 74, It is noted that these claims have distinct inventive steps which are different from the originally presented claims 2-18, for example, at least one of an independent Claim 21 called for a method of delivering a receiver specific recommendation at a video receiver station including the steps of receiving at least one information transmission at said video receiver station . . .; storing at least some of said generally applicable information . . .; outputting said video at a video monitor; selecting at least one receiver . . .; outputting said selected at least one receiver . . .; and producing said some of . . .

Also for claims 28-31 and 75, it is noted that these claims have different inventive steps which are different from the originally presented claims 2-18, for example, at least one of an independent Claim 28 called for a method of delivering a receiver specific recommendation to a graphic receiver station including the steps of receiving at least one information transmission at said . . .; storing at least some of said generally applicable . . .; outputting said at least some of . ..; selecting at least one receiver specific benefit ...; outputting said selected at least one receiver specific . . .; outputting said some of said receiver specific recommendation at said graphic . . .

In claims 32-57 and 76, it is noted that these claims have different inventive steps which are different from the originally presented claims 2-18, for example, at least one of an independent Claim 32 called for a method of making a recommendation at an ultimate receiver station, said

ultimate receiver station including a television receiver, a detector, a computer, and a television monitor, said method comprising the steps of:

receiving at least one information transmission from at least one remote television transmitter station. . . ;

selecting and delivering said contiguous . . .;

detecting said first data before a time period during which . . .;

computing second data by processing one or more of said first data in said time . . .;

communicating at least a portion of said only some of said benefit information. . .;

outputting said at least a portion of said only some of said benefit . . .

Since applicant has received an action on the merits for the originally presented invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claims 21-57 and 74-76 are withdrawn from consideration as being directed to a non-elected invention. See 37 CAR 1.142(b) and MPEP § 821.03.

Response to Arguments

14. Applicants' argument filed on June 9, 1997 have been fully considered but they are not persuasive.

With respect to the 112/1st paragraph rejection, it is noted that applicants relies solely on the '87 specification for the support for the present claimed invention, however, the instant application calmed the effective filing data of '81 specification (i.e., 06/317,510), thus, it raises question whether the disclosure of '81 specification supports every possible limitations as cited in

the present claimed invention. Further, it is noted that applicant has responded with support for various separate portions of the '87 specification, however, these distinct portions lack a singular cohesiveness which allow one of ordinary skill in the art to determine how to make and/or used the claimed invention, and thus, the remarks are deemed unpersuasive.

With respect to double patenting rejections, "Applicants' argument have been reviewed, but the administrative requirement as set forth in the previous Office Action is maintained."

In page 40, the Applicants argue that Campbell '791 fails to discloses that "the almost limitless range of data, including stock market reports."

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "almost limitless range of data) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

With respect to the "a benefit datum", Campbell '791 does in fact show this limitation, and Applicants' attention is directed to col. 1, lines 60-68. the Applicants further argue that Campbell '791 fails to disclose ever element of claim 2. The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791 and the Examiner believes that the explanations of how the limitation of claims 2-5 and 58-61 broadly read on Campbell '791 are adequately set forth in the Examiner's comment as cited above.

In page 43, the Applicants argue that "Neither of these transmissions received by the signal combiner 20 is discloses by Campbell '791 to be effective at a receiver station to generate a

control signal based on a subscriber reaction to a recommendation or an offer. Accordingly, Campbell '791 fails to meet the limitations of step (2) of claim 6."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791. The limitations of step (2) of claim 6 broadly read on the col. 7, lines 30-68, col. 8, lines 45-68, col. 12, lines 2-26 and col. 17-18, lines 1-68 of Campbell '791.

In page 44, the Applicants argue that "Campbell '791 fails to discloses "at least one instruct signal that had been delivered to at least one origination transmitter is effective at a receiver station to generate a second control signal based on a subscriber reaction to a recommendation or an offer."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791. The above mentioned claimed limitations broadly read on col. 8, lines 45-68, col. 12, lines 2-26 and col. 17-18, lines 1-68 of Campbell '791 and also see the Examiner's comments with respect to claim 6 above.

In pages 45-46, the Applicants argue that "Campbell '791 does not disclose that the input from the control sources, text sources, program sources, local operator, and remote computer are in any way effective at the addressable converter 40 to generate a control signal based on a subscriber reaction."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791. The above mentioned claimed limitations broadly read on col. 8, lines 45-68, col. 12, lines 2-26 and col. 17-18, lines 1-68 of Campbell '791 and also see the Examiner's comments with respect to claim 9 above.

Further, the Applicants argue that "Campbell '791 fails to disclose the outputting of a mass medium program that presents a recommendation or offer that contains a receiver specific benefit datum."

The Examiner disagrees because these limitations are broadly read on the col. 17-18, lines 1-68 of Campbell '791.

In page 47, the Applicants argue that "Campbell '791 does not disclose that any of this input addresses an instruct signal, which meets the limitation of Claim 9 and further fails to show every element of Claim 9."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791. Applicants' attention is directed to col. 8, lines 45-68, col. 12, lines 2-26 and col. 17-18, lines 1-68 of Campbell '791. Examiner believes that the explanations of how the limitation of claim 9 read on Campbell '791 is adequately set forth in the Examiner's comment as cited above.

In page 48, the Applicants argue that "Campbell '791 does not anticipate claim 11 since it fails to discloses ever element of the claimed invention."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791. It is clear that system of Campbell '791 does in fact show two-way interactive data communications system where at least one of the plurality of receivers stations may be received such as special events by placing an order in advance (see col. 11-12, lines 1-68), this implies that at least some control signal has to identified the request of the plurality of receiver stations asynchronously because it is clearly possible that the subscriber in different receiver may be order/request in different time interval.

With respect to claim 17, the Applicants argue that "Campbell '791 does not disclose that the prompted input is in respect of datum that is of or pertains to a benefit for a specific receiver."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Campbell '791. Applicants indicated (in page 36) that "prompting" in claim 17 per its ordinary use to mean "encouraging" or "soliciting" or "initiating", thus, Campbell '791 does in fact show that the prompted input is in respect of datum that is of or pertains to a benefit for a specific receiver during the process of providing two-way interactive functions such that the stock market quations, continually-updated new stories, shopping information, pay-per-view premium information, response/opinion polling feature. Applicants' attention is further directed to col. 17-18, lines 1-68.

Furthermore, the Applicants argue that "Campbell '791 fails to show the step of delivering combined medium programming as disclosed and claimed by Applicants'."

The Examiner disagrees because "combined medium programming" as claimed by Applicant does in fact broadly read on the "pay-per-view programming" of Campbell '791, because the "pay-per-view programming" of Campbell '791 is a combination of video and audio signals.

With respect to Claim 5, the Applicants argue that "Saeki '570 fails to meet the limitation of receiving at the subscriber station instruct signals which are effective to generate a control signal based on a subscriber specific reaction of the subscriber to a recommendation or an offer; and generating subscriber specific data of the subscriber at the subscriber station, the generating at the subscriber station directed by instructions form the instruct signals."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Saeki '570. Furthermore, the Applicant's attention is directed to col. 2, lines 1-68, col. 7-8, lines 1-68, and Figs. 4-8.

With respect to claim 18, the Applicants argue that "Block '884 fails to disclose that the ACC signal is received in broadcast or cablecast transmissions."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Block '884 because Claim 18 does not explicitly claimed that "the first control signal (ACC) in broadcast or cablecast transmissions." Claim 18 broadly claimed that "receiving the first control signal (ACC of Block '884) and one of video and audio in the transmission." Therefore, the ACC signal of Block '884 does in fact read on the broadly claimed present invention as discussed in Examiner's comment above.

Further, the Applicants argue that "Block '884 fails to disclose that the received scramble code (RSC) serves as the basis for processing data that pertains to a subscriber in order to generate information."

The Examiner disagrees because the broadest interpretation of the present claimed invention does in fact read on Block '884 because Claim 18 broadly claimed "the step of generating a second control signal (i.e., RSC signals of Block '884) based on said inputted subscriber response and said generated information; and controlling said receiver station in accordance with said second control signal." The Applicants' attention is further directed to Figs. 1-4, elements 26 & 32, and col. 7, lines 1-68 of Block '884.

Moreover, the Applicants argue that "Block '884 fails to discloses that the received scramble code (RCS) is in broadcast or cablecast transmissions." The Examiner disagrees because

Claim 18 does not explicitly claims where "a second control signal" is in broadcast or cablecast transmissions. Furthermore, it is clearly form Figs. 1-4 of the Block '884 that the RCS control signal is part of signals processing at a receiver station based on one of at least one broadcast or cablecast transmission. Applicant's attention is further directed to col. 1, lines 15-68, col. 4, lines 1-68, col. 6, line 60 - col. 7, lines 25.

In view of above, Claims 1-18 are anticipated by Campbell '791, Saeki '570 and Block '884 for at least the reasons discussed above.

Conclusion

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CAR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CAR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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Any response to this final action should be mailed to:

Box AF

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Or Faxed to:

(703) 308-9051, (for formal communications; please mark "EXPEDITED

PROCEDURE")

Or

(703) 308-5399 (for informal or draft communications, please label

"PROPOSED" or "DRAFT").

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive,

Arlington, VA., Sixth Floor (Receptionist).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aung S. Moe whose telephone number is (703) 306-3021. The examiner can normally be reached on Monday-Friday from 9:00 A.M. to 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Faile, can be reach on (703) 305-4380.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-4700.

A. Moe

November 18, 1998

ANDREW I. FAILE SUPERVISORY PATENT EXAMINER "GROUP 2700

APPENDIX

(Examples of Claim Conflicts between Applications)

Comparison of claim 12 from Serial No. 08/469,626 to claim 24 from Serial No. 08/487,980.

Claim 12

A method of controlling a remote intermediate mass medium programming transmitter station to communicate mass medium program material to one or more receiver stations, with said remote transmitter station including a broadcast or cablecast transmitter for transmitting one or more units of mass medium programming, a plurality of selective transmission devices each operatively connected to said broadcast or cablecast transmitter for communicating a unit of mass medium programming, a mass medium programming receiver, a control signal detector, and a controller or computer capable of controlling one or more of said selective transmission devices, and with said remote transmitter station adapted to detect the presence of one or more control signals, to control the communication of specific units of mass medium programming in response to detected specific

Claim 24

A method of controlling a remote intermediate mass medium programming transmitter station to communicate mass medium program material to one or more receiver stations, with said remote transmitter station including a broadcast or cablecast transmitter for transmitting one or more units of mass medium programming, a plurality of selective transmission devices each operatively connected to said broadcast or cablecast transmitter for communicating a unit of mass medium programming, a mass medium programming receiver, a control signal detector, and a controller or computer capable of controlling one or more of said selective transmission devices, and with said remote transmitter station adapted to detect the presence of one or more control signals, to control the communication of specific units of mass medium programming in response to detected specific

control signals, and to deliver at its broadcast or cablecast transmitter one or more units of mass medium program, said method of communicating comprising the steps of:

- (1) receiving a unit of mass medium programming to be transmitted by the remote intermediate mass medium programming transmitter station and delivering said unit of mass medium programming to a transmitter, said unit of mass medium programming having an instruct signal which is effective at the one or more receiver stations to control a sequence of events;
- (2) receiving one or more control signals which at the remote intermediate mass medium programming transmitter station operate to control the communication of said unit of mass medium programming; and
- (3) transmitting said one or more control signals to said

- control signals, and to deliver at its broadcast or cablecast transmitter one or more units of mass medium program, said method of communicating comprising the steps of:
- (1) receiving a unit of mass medium programming to be transmitted by the remote intermediate mass medium programming transmitter station and delivering said unit of mass medium programming to a transmitter, said unit of mass medium programming having an instruct signal which is effective at the one or more receiver stations to decode a portion of a multichannel broadcast or cablecast transmission;
- (2) receiving one or more control signals which at the remote intermediate mass medium programming transmitter station operate to control the communication of said unit of mass medium programming; and
- (3) transmitting said one or more control signals to said

transmitter before a specific time. transmitter before a specific time.

Comparison of claim 24 from Serial No. 08/488,620 to claim 23 from Serial No. 08/477,660.

Claim 24

A method of controlling a computer to communicate a television signal in a television network, said network *having* a television transmitter station and a television receiver station, said receiver station having a computer for communicating of television signals, said method comprising the steps of:

programming said receiver station to search for data embedded in a television signal;

inputting an identifier code that designates a unit of computer software;

storing a television signal on a file storage medium at a storage device associated with said computer;

receiving from a remote source an information transmission that contains a control signal;

Claim 23

A method of controlling a computer to communicate a television signal in a television network, said network comprised of a television transmitter station and a television receiver station, said receiver station having a computer for communicating of television signals, said method comprising the steps of:

programming a processor to search for data embedded in a television signal;

inputting an identifier code that designates a unit of computer software;

storing a television signal on a file storage medium at a storage device associated with said computer;

receiving from a remote source an information transmission that contains a control signal; selecting a storage location associated with said computer in response to said control signal;

transferring said unit of computer software to said storage device;

storing said unit of software on said file storage medium;

executing a technique for communicating a file stored on a disk associated with a computer; and

communicating said television signal in accordance with said technique.

selecting a storage location associated with said computer in response to said control signal;

transferring said unit of computer software to said storage device and

storing said unit of software on said file storage medium,

thereby to enable said computer to execute a technique for communication a file stored on a disk associated with a computer and

communicate said television signal in accordance with said technique.

Comparison of claim 23 from Serial No. 08/488,032 to claim 58 from Serial No. 08/451,746.

Claim 23

A method of communicating subscriber station information from a subscriber station to one or more remote data collection stations, said method comprising the steps of:

- (1) inputting a viewer's or participant's reaction at a subscriber station;
- (2) receiving at said subscriber station information that designates an instruct signal to process or an output to deliver in consequence of subscriber input;
- (3) determining the presence of said subscriber input at said subscriber station by processing said viewer's or participant's reaction;
- (4) processing an instruct signal which is effective to coordinate data processing with communication or presentation of television programming at said

Claim 58

A method of communicating subscriber station information from a subscriber station to one or more remote data collection stations, said method comprising the steps of:

- (1) inputting a viewer's or participant's reaction at a subscriber station;
- (2) receiving at said subscriber station information that designates an instruct signal to process or an output to deliver in consequence of *said specific* subscriber input;
- (3) determining the presence of said specific subscriber input at said subscriber station by processing said viewer's or participant's reaction;
- (4) processing an instruct signal which is effective to receive, generate, or present output to supplement television

subscriber station in consequence of said step of determining; and

(5) transferring from said subscriber station to one or more remote data collection stations an indicia confirming delivery of said instruct signal from said step of processing or confirming delivery of said effect from said step of processing. programming at said subscriber station in consequence of said step of determining; and

(5) transferring from said subscriber station to one or more remote data collection stations an indicia confirming delivery of said instruct signal from said step of processing or confirming delivery of said effect from said step of processing. Comparison of claim 47 from Serial No. 08/469,106 to claim 46 from Serial No. 08/487,649.

Claim 47

A method of controlling at least one of a plurality of receiver stations each of which includes a broadcast or cablecast mass medium program receiver, at least one output device, a control signal detector, at least one processor capable of responding to an instruct signal, and with each said mass medium program receiver station adapted to detect and respond to one or more instruct signals, said method of communicating comprising the steps of:

- (1) receiving at a broadcast or cablecast transmitter station an instruct signal which is effective at the receiver station to implement a scheme for generating a control signal and delivering the instruct signal to a transmitter;
- (2) receiving at said transmitter station one or more

Claim 46

A method of controlling at least one of a plurality of receiver stations each of which includes a broadcast or cablecast mass medium program receiver, at least one output device, a control signal detector, at least one processor capable of responding to an instruct signal, and with each said mass medium program receiver station adapted to detect and respond to one or more instruct signals, said method of communicating comprising the steps of:

- (1) receiving at a broadcast or cablecast transmitter station an instruct signal which is effective at the receiver station to select a broadcast or cablecast signalling scheme and generate a signal in consequence of said selected broadcast or cablecast signalling scheme and delivering the instruct signal to a transmitter;
 - (2) receiving at said

control signals which at the receiver station operate to communicate the instruct signal to a specific processor; and

(3) transferring said one or more control signals to the transmitter, said transmitter transmitting the instruct signal and the one or more control signals. transmitter station one or more control signals which at the receiver station operate to communicate the instruct signal to a specific processor; and

(3) transferring said one or more control signals to the transmitter, said transmitter transmitting the instruct signal and the one or more control signals. Comparison of claim 11 from Serial No. 08/477,805 to claim 25 from Serial No. 08/449,523.

Claim 11

A method of controlling a remote television transmitter station to communicate television program material to one or more receiver stations, with said remote television transmitter station including a broadcast or cablecast transmitter for transmitting one or more units of television programming, a plurality of selective transmission devices each operatively connected to said broadcast or cablecast transmitter for communicating a unit of television programming, a television receiver, a control signal detector, and a controller or computer capable of controlling one or more of said selective transmission devices, and with said remote transmitter station adapted to detect the presence of one or more control signals, to control the communication of specific units of television programming in response to detected specific control signals, and to deliver at

Claim 25

A method of controlling a remote television transmitter station to communicate television program material to one or more receiver stations, with said remote television transmitter station including a broadcast or cablecast transmitter for transmitting one or more units of television programming, a plurality of selective transmission devices each operatively connected to said broadcast or cablecast transmitter for communicating a unit of television programming, a television receiver, a control signal detector, and a controller or computer capable of controlling one or more of said selective transmission devices, and with said remote transmitter station adapted to detect the presence of one or more control signals, to control the communication of specific units of television programming in response to detected specific control signals, and to deliver at

its broadcast or cablecast transmitter one or more units of television programming, said method of communicating comprising the steps of:

(1) receiving a unit of television programming to be transmitted by the remote intermediate television transmitter station and delivering said unit of television programming to a transmitter;

- (2) receiving one or more control signals which at the remote intermediate television transmitter station operate to control the communication of a specific one or more of said plurality of units of television programming; and
- (3) transmitting said one or more control signals to said transmitter before a specific time.

- its broadcast or cablecast transmitter one or more units of television programming, said method of communicating comprising the steps of:
- (1) receiving a unit of television programming to be transmitted by the remote intermediate television transmitter station and delivering said unit of television programming to a transmitter, said unit of television programming having an instruct signal which is effective at the one or more receiver stations to implement a television signalling scheme;
- (2) receiving one or more control signals which at the remote intermediate television transmitter station operate to control the communication of said unit of television programming; and
- (3) transmitting said one or more control signals to said transmitter before a specific time.